

Potential claims relating to air quality

Hausfeld & Co. LLP – Data Privacy Statement for investigation stage

To assist us in investigating potential claims relating to air quality, we will ask potential claimants to provide certain personal data to assist in the claim investigation. This may include your contact details, information relating to your health and your residential address and any other information which may assist in reviewing the basis for a potential claim, which we refer to in this policy as "personal data".

Your responsibilities

You are responsible for ensuring that the personal data which you provide to us is accurate and, where appropriate, kept up to date and that you do not do anything to cause us to breach our data protection obligations. Where the data relates to another individual, you are responsible for ensuring that you have their agreement to do so and you agree to take responsibility for passing on the information set out in this note in relation to our identity, how we will use that information for the purposes of our instruction, and the rights which they retain to request amendment or erasure of the data,

Hausfeld's responsibilities

Our use of personal data is subject to our professional duty of confidentiality and the requirements of relevant data protections laws regarding the use and protection of personal data pursuant to the UK Data Protection Act 2018 (incorporating the EU General Data Protection Regulation) (the "UK GDPR") and other relevant UK legislation which may add or supersede those obligations from time to time. This privacy statement explains:

- how Hausfeld will use, process and store any personal data which you provide for the investigation;
- any third parties with whom it may be shared or any circumstances in which it may be transferred outside of the UK;
- the steps we will take to protect the data and how long it will be retained; and
- the rights that you have to ask us for details of the personal data that we hold, to update, correct or delete the data.

1. The personal data which we may collect

We may collect personal data during this investigation stage as necessary to enable us to analyse potential claims relating to air quality and whether you may be eligible to participate if we determine that a claim is viable.

Personal data may include any information which can be used to identify or be linked to any individual including name, contact information, gender, date of birth or any other personal data. It may also include special categories of sensitive personal data which relate, for example, to an individual's health, or racial or ethnic origin. We will only request and process sensitive personal data where you or the individual to whom it relates has given their explicit consent for us to do so.

For the purposes of the investigation we will limit the personal data we collect initially to contact details, and initial information relating to health and residential details. If we need to request further information from you during the investigation, we will explain the reason for doing so.

2. The purposes for which we will process information

We may process personal data for the following purposes, for any related and/or ancillary purpose which is compatible with the purpose for which it is provided, or any other purpose for which your personal data was provided to us.

2.1 Assessing whether you have a claim

We will process data that is necessary in responding to your initial enquiry and determining whether you have a legal claim.

2.2 Processing in our legitimate business interests

We may also process data where processing is necessary for the purposes of our legitimate interests provided that such interests are not overridden by your own interests or fundamental rights and freedoms. This may be relied on for the purposes of:

- internal analysis in monitoring and assessing compliance with our policies and standards and assessing and improving the effectiveness of our services and communications to you;
- for insurance purposes;
- detecting, preventing and responding to actual or potential fraud or other illegal activities or intellectual property infringement;
- processing of any personal data which we hold for the operation of our IT and data security systems including backups of any element of our IT systems or databases containing personal data to ensure the resilience of our IT systems and the integrity and recoverability of our data; and
- processing of any personal data which we hold for the purposes of protecting and asserting our legal rights and those of others in relation to legal claims.

2.3 Compliance with our legal obligations

We may process personal data to comply with our legal, regulatory and professional obligations including:

- compliance screening, record keeping and recording obligations (for example in complying with anti-money laundering, financial and credit check, fraud and crime prevention and detection purposes);
- compliance with data subject access requests; and
- compliance with court orders and regulatory requests and defending and pursuing our legal rights.

3. The circumstances in which we may share personal data with third parties

We may share your personal data with third parties in the following circumstances, further details of which are available upon request:

- with our employees, partners and consultants and with other associated Hausfeld entities (Hausfeld LLP in the United States, Hausfeld Rechtsanwälte LLP in Germany and Hausfeld Advocaten in the Netherlands whose details can be found in our Legal Notice (<https://www.hausfeld.com/legalnotice>) on a confidential basis where required for provision of our legal services, internal administration, billing, and compliance and reporting, promoting our events and services and other business purposes;
- with other third party advisors and providers for the purposes of determining whether you have a claim, including (without limitation) where appropriate barristers and their respective Chambers, experts (including ClientEarth), and other professional advisors subject to their agreement to treat the data as confidential and comply with data protection obligations under UK GDPR;
- with third party providers who host the services on which our data is stored, our IT and other third party providers of business and administrative services, that we may use to assist in business administration and to make our business more efficient from time to time;

- with third party providers for the purposes of money laundering and other compliance and reference checks and other fraud and crime prevention purposes;
- with our professional indemnity insurers and brokers and professional advisors as is necessary for the purposes of obtaining and maintaining insurance cover, obtaining professional advice, managing legal disputes and maintaining accounts records and financial audits.

Any information which we share with third party providers will be pursuant to contractual arrangements which we put in place, which will require that the data is processed only in accordance with our instructions for specified purposes, subject to appropriate security arrangements and applicable data protection laws.

Hausfeld also reserves the right to disclose any information which it holds where it considers in good faith that it is necessary: a) to appropriate courts, law enforcement authorities, governmental or regulatory authorities, if required to do so by law or regulation or by any governmental or law enforcement agency; and b) in order to protect the vital interests of the data subject or of any other individual. Where information is so disclosed, we will inform you of the disclosure to the extent we are permitted by law to do so.

4. How we protect and store the personal data which we hold

We use administrative, technical and physical measures to keep personal data confidential and secure, in accordance with our internal procedures to protect it from being accidentally lost, altered, used, accessed or disclosed in an unauthorised way. Personal data may be held on our data technology systems, those of our third party contractors and/or in paper files. Where we share information with third parties, we will obtain written commitments that they will protect the data with appropriate safeguards.

Although we do our best to ensure the security of your personal data and to use only reputable service providers, no information system can be 100% secure and we cannot guarantee the absolute security of your information, in particular we will not be responsible for the security of any information which you transmit to us over networks that we do not control including the internet and wireless networks or those of third party providers.

We have in place procedures to deal with any suspected personal data breach and will notify you and any applicable regulator of a breach where we are legally required to do so.

5. When we may transfer your personal data abroad

As Hausfeld operates globally, in the course of our work, we may transfer your personal data abroad for storage or processing where required for any of the purposes set out above, and any of the countries in which Hausfeld and its affiliates, agents or contractors have offices.

Where personal data is transferred to countries outside of the UK which do not always have the same standard of data protection as those applying under the UK GDPR, we will ensure a data protection level equivalent to the minimum level under the UK GDPR, by transferring personal data to a country which the UK/European Commission has determined ensures an adequate level of protection, entering into standard contractual clauses which have been approved by the UK/European Commission, or by other similar protective measures.

If you require additional information regarding the specific mechanisms in place in relation to international transfers, please contact us.

6. How long we will retain personal data

We will hold the personal data which you provide for the period necessary to conclude our investigation and to engage with you to discuss whether you are eligible to join a claim and whether you wish to do so.

If we determine that a claim is not viable or that you are not eligible to join a claim, we will delete your personal data within 3 months from notifying you of the outcome of our investigation and any related

discussion with you, subject to retention of any records which we are required to hold for regulatory purposes.

We will update you as the investigation progresses and you will be free to inform us at any stage that you no longer wish to participate in the investigation and to request that we delete your data.

If you choose to instruct us to pursue a claim on your behalf, we will retain the personal data for the purposes of the engagement and will provide you with a copy of our Client Data Privacy Notice alongside our engagement terms.

7. Rights of data subjects to review, update, restrict the use of and/or request the erasure of personal data

Hausfeld has a legal obligation to ensure that any personal information which it holds remains accurate and up to date and relies on you to notify any changes to the information provided. Individuals whose personal data we hold will have rights in relation to the personal data which we hold.

Subject to certain legal conditions, you have the right at any time:

- to request details of any categories of personal data which we hold about you, the purposes for which we process the data and any third parties with whom it is shared. Provided the rights and freedoms of others are not affected we will supply the individual with a copy of the data;
- to ask us to update or correct any personal information which we hold, object to or ask us to restrict the processing of that personal data for particular purposes. You may object to the processing of personal data for direct marketing purposes and withdraw any consent you have previously given to us at any stage by notifying us by email to dataprivacy@hausfeld.com or using the other contact details below. Where you object to the data being processed for other purposes, we will cease such processing, unless we can demonstrate compelling legitimate grounds for the processing which overrides your interests, rights and freedoms, including compliance with legal obligations and for the purposes of legal claims;
- where the personal data is no longer necessary for the purposes for which it was collected, you may have the right to request that it be erased but this may be overridden where the data is necessary for other purposes including compliance with a legal obligation or in connection with potential legal claims;
- where we hold personal data with your consent or for the performance of a contract with you and processing is carried out by automated means, you may have the right to receive your personal data from us in a commonly used format so that it can be transferred to an alternative third party provider, provided it would not adversely affect the rights and freedoms of others; and
- to lodge a complaint with the appropriate supervisory authority. Contact details for the Information Commissioner's Office, the supervisory authority in the UK, are provided below.

If you wish to exercise any of your rights in relation to your personal data or raise a complaint, please contact us by email or post using the contact details below. In the event we have any reasonable doubts concerning the identity of the person making the request, we may request additional information to prove your identity to prevent unauthorised disclosure of data.

We will consider any requests or complaints which we receive in a timely manner. We reserve the right to charge a reasonable administrative fee for any manifestly unfounded or excessive requests and for any additional copies. If you are not satisfied with our response, you may take your complaint to the Information Commissioner's Office, the details for which are provided below.

8. Changes to this privacy policy

We may revise and update this Privacy Notice from time to time in order to reflect any changes to the way in which we process your personal data, changes in applicable legal requirements or guidance. We will notify you in the event of any changes.

9. How to contact us

If you require any further information or would like to contact us with any queries or comments, please use the contact details set out below.

- By email to dataprivacy@hausfeld.com; or by post to the Data Manager, Hausfeld & Co. LLP, 12 Gough Square, London, EC4A3DW
- Hausfeld & Co is registered in the Data Protection Register of the UK Information Commissioner's Office under registration number Z1953172. The Information Commissioner's Office can be contacted via its website <https://ico.org.uk> or by telephone on 0044 (0)303 123 1113.